



**Truro and Penwith
Academy Trust**

Governance Code of Conduct

Review Summary

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1. Aims, scope and principles

This policy aims to set and maintain standards of conduct that we expect all those in Governance roles to follow.

By creating this policy, we aim to ensure that those in Governance roles carry out their role with honesty and integrity, and help us to ensure our Trust is an environment where everyone is safe, happy and treated with respect.

The code is based on the [Governance Handbook](#) and the [Academy Trust Handbook](#). It should be read alongside our constitutional documents (e.g. our Articles of Association, Scheme of Delegated Authority and relevant Terms of Reference). Failure to follow the code of conduct may result in disciplinary action being taken, as set out in the appendix.

Please note that this code of conduct is not exhaustive. If situations arise that are not covered by this code, those in Governance roles will use their judgement and act in the best interests of the Trust and its pupils.

2. The 7 Nolan principles of public life

As individuals holding TPAT Governance roles, We will follow these [principles](#) set out by the government at all times. They apply to anyone who holds a public office:

- **Selflessness** – we will act in the public interest
 - **Integrity** – we will not act or take decisions to gain financial or other material benefits for ourselves, our family, or our friends. We will declare any conflict of interests
 - **Objectivity** – we will act and take decisions impartially, fairly, and on merit. We will use the best evidence and avoid discrimination or bias
 - **Accountability** – we understand that we are accountable to the public for our decisions and actions. To make sure of this, we will be scrutinised where necessary
 - **Openness** – we will act and take decisions openly and transparently. We will not withhold information from the public unless there are clear and lawful reasons for doing so
 - **Honesty** – we will be truthful
 - **Leadership** – we will actively promote and support the above principles and will challenge poor behaviour wherever it happens
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3. Responsibilities

In Trusts, the purpose of governance is to provide:

- Strategic leadership
- Accountability and assurance
- Strategic engagement

In order to do this effectively, as individuals we will:

- Understand and respect the distinction between the *role and responsibilities of the governance* and those of the executive leadership
- Set and maintain an ethos of high expectations for everyone in the community of every school, including in the conduct and the professionalism of the board or committee itself
- Promote equality and diversity throughout our organisation
- Preserve and develop the character of the Trust
- Not undermine fundamental British values, including democracy, the rule of law, individual liberty and mutual respect, and tolerance of those with different faiths and beliefs
- Operate and make decisions in the best interests of pupils, informed by the views and needs of our key stakeholders (pupils, parents, staff, local communities)
- Follow the Trust's policies and procedures, and the procedures of the governance as set out in relevant legislation, statutory guidance, and the trust's constitutional documents. With particular attention paid to the Scheme of Delegated Authority and the relevant Terms of Reference.
- Take responsibility for our self-evaluation, regularly reviewing our performance, constitution and skillset
- Take part in any training or development required to fill any gaps in the skills we need for effective governance
- Understand that where responsibility has been delegated, the board/committee as a whole remains accountable and that important decisions relating to the governance purpose will be made by the full board or committee
- Comply with relevant guidance and legislation and our funding agreement that sets out how we must manage our Trust's money, and procure goods and services
- Act with integrity and transparency when making financial decisions, and understand that our financial management and decision-making will be scrutinised and audited
- Declare all gifts worth more than £10 and record them on the gifts and hospitality register. We will not accept bribes
- We will work to actively identify and manage risks to the Trust

4. Working with others

We will:

- Support and strengthen Leadership by providing constructive challenge to leaders, and holding them to account
- Respect the role of the executive and school leadership teams and avoid involvement in operational matters
- Respect each other's views
- Work together as a board/committee to develop effective relationships with stakeholders
- Engage meaningfully with the communities we serve and understand that we are answerable to these stakeholders
- Follow the Equality Act 2010, and apply the principles of fairness and equality in everything we do

5. Commitment to governance

We:

- Will attend all meetings where possible. Where we cannot attend, we will explain our valid reason and give suitable notice
- Understand and accept the time and workload commitments of the role
- Understand that work should be shared among members and that all those holding a governance role are expected to take an active role
- Will prepare ahead of meetings to ensure we make informed contributions
- Will participate in regular pre-arranged monitoring activities in accordance with our policies
- Will attend any training or development activity needed to ensure the board has a wide range of skills and expertise

6. Openness and transparency

Conflicts of interest

To make sure our board/committee takes impartial decisions without bias, we will:

- Publish up-to-date registers of business and pecuniary interests of all those in governance roles
- Declare any potential conflicts of interest at the beginning of each meeting, and withdraw from the meeting for the relevant item of business and not vote on the matter
- Bring our individual perspective to the issues discussed – we are not there to act on behalf of any other body/organisation/group of individuals

Publishing information

To ensure our board/committee is transparent and open to the communities we serve, we will make certain information publicly available.

- We accept that the following information will be published on the school or Trust website to ensure transparency:
 - The structure and remit of the Members, board of trustees, committees (including LMCs), and the full name of the chair of each one (where applicable)
- For each **Member** who has served at any point over the past 12 months:
 - Their full name
 - Their date of appointment
 - The date when they stepped down, if applicable
 - Their relevant business and pecuniary interests (including governance roles in other educational institutions)
- For each **trustee and local monitoring committee member** who has served at any point over the past 12 months:
 - Their full name
 - Their date of appointment
 - Their term of office
 - The date they stepped down (where applicable)
 - The body that appointed them

- Their relevant business and pecuniary interests
- Their attendance record over the last academic year
- We accept that the information about Members, trustees and committee members will be published on [Get information about schools](#)
- We accept that the information about trustees will be published on [Companies House](#)
- We accept that the approved board and committee minutes and any agenda and papers considered at a meeting will be made available to any interested person

7. Confidentiality

In the course of our role, we are sometimes privy to sensitive information. We will observe confidentiality when discussing this information, and will not publicly disclose:

- Information about sensitive matters
- Information about named individuals (such as staff, pupils and their parents)
- Details of individual governance contributions in meetings or how they may have voted

Confidential information will never be:

- Disclosed to anyone without the relevant authority
- Used to humiliate, embarrass or blackmail others
- Used for a purpose other than what it was collected and intended for

Our commitment to confidentiality does not overrule our duty to report child protection concerns to the appropriate channel where we believe a child is at risk of harm.

We will continue to observe confidentiality after we have left office.

Breaches of confidentiality

In the event of a breach of confidentiality, we will inform the chair as soon as possible who will investigate the matter further.

We understand that any breach in confidentiality, may result in individual being suspended or removed.

8. Data protection

We will follow the trust's information security processes and measures and data protection policy when using, storing, sharing and disposing of personal data.

Our commitment to data protection does not overrule our duty to report child protection concerns to the appropriate channel where we believe a child is at risk of harm.

Personal data breaches

We will inform the trust's data protection officer immediately if we believe that there has been a personal data breach.

9. Social media

We will:

- Abide by any requirements set out in our trust's social media policy

We will:

- Uphold the reputation of the trust at all times
- Maintain a professional presence online and carefully consider how we interact with our communities
- Review privacy settings regularly to make sure we are happy with the information about us that is publicly available
- Report any incidents of harassment we experience, or see towards those in governance roles to the chair of trustees/the local monitoring committee board and the executive leadership

We will **not**:

- Accept friend requests from pupils and not join any private parent groups associated with the trust or any of our schools
- Disclose any information which is confidential or would breach data protection principles
- Make comments online about any Governance individual or school communities
- Post any inappropriate/offensive language, images or comments on social media that may bring us or the trust into disrepute

10. Monitoring arrangements

This code of conduct will be reviewed and agreed annually, upon significant changes to the law, or as needed.

11. Links with other policies

This policy links with our policies on:

- Safeguarding
- Gifts and hospitality
- Data protection
- Scheme of Delegated Authority

Appendix 1: breaches of the code of conduct

Trustees

If we suspect a Trustee has breached the code of conduct, we will follow this procedure:

- An appropriately independent individual will investigate
- A meeting will be held with the Trustee to discuss the issue. The Trustee can bring a friend to the meeting. The Head of Corporate Governance will attend to corroborate any decisions
- If the situation doesn't improve, or there is another suspected breach, we will take action to improve the issue. This may involve:
 - Further meetings to reset expectations, based on this code of conduct
 - Support, mentoring or training for the Trustee
 - Making sure the Trustee withdraws from votes connected to any disputes they have been involved in

If there is no improvement in the Trustee's behaviour, the board will vote on a motion to ask the Members to remove them in accordance with sections 168 and 169 of the [Companies Act 2006](#) and the trust's articles of association. This is a last resort and will not be used without the above steps being taken, except in exceptional circumstances

Local Monitoring Committee members

If we suspect a local committee member has breached the code of conduct, we will follow this procedure:

- An appropriately independent individual will investigate
- A meeting will be held with the local committee member to discuss the issue, the local committee member can bring a friend to the meeting.
- If the situation doesn't improve, or there is another suspected breach, we will take action to improve the issue. This may involve:
 - Further meetings with the local committee member to reset expectations, based on this code of conduct
 - Support, mentoring or training for the local committee member
 - Making sure the local committee member withdraws from votes connected to any disputes they have been involved in

If there is no improvement in the local committee member's behaviour, the board will vote on a motion to ask the individual to stand out. This is a last resort and will not be used without the above steps being taken, except in exceptional circumstances.

The LMC will seek the support of the Trust Head of Corporate Governance.

Trustees may be **removed** if they:

- Have acted in a way that is inconsistent with the professional ethos of the board of Trustees (including failing to undertake training appropriate to the role, whether or not directed to do so by the board)
- Have brought, or is likely to bring the Trust or the office of the Trustee into disrepute
- Have acted to undermine fundamental British values or the board's commitment or ability to deliver on its Prevent Duty
- Have been involved in serious misconduct. We will determine what counts as serious misconduct based on the facts of the case, but it will include any actions that compromise the 7 principles of public life, if sufficiently serious
- Have displayed repeated and serious incompetence

- Have acted in a way that is significantly detrimental to the effective operation of the board, or their actions have interfered with the operational efficiency of the Trust.

Local monitoring committee members may be **suspended** if they:

- Have acted in a way that is inconsistent with the professional ethos of the LMC (including failing to undertake training appropriate to the role, whether or not directed to do so by the Trust) and
- Have brought, or is likely to bring the Trust or the office of the local monitoring committee member into disrepute, or
- Acted to undermine fundamental British values or the LMC's commitment or ability to deliver on its Prevent Duty

Local Monitoring Committee members may be **removed** where:

- There have been repeated grounds for suspension
- There has been serious misconduct. We will determine what counts as serious misconduct based on the facts of the case, but it will include any actions that compromise the 7 principles of public life, if sufficiently serious
- They display repeated and serious incompetence
- Their actions are significantly detrimental to the effective operation of the board, or their actions interfere with the operational efficiency of the school

Both Trustees and Local Monitoring Committee members:

'Bringing the Trust into disrepute' may include, but is not limited to:

- Speaking out publicly against the school or Trust
- Being disrespectful to members of the school community
- Behaving inappropriately in a public forum, such as a PTA meeting or on social media
- Persistently failing to undertake the training or development they need to contribute effectively to the board's operation